(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau



(10) International Publication Number WO 2016/012829 A1

(43) International Publication Date 28 January 2016 (28.01.2016)

(51) International Patent Classification: *A61B 17/02* (2006.01)

(21) International Application Number:

PCT/IB2014/002337

(22) International Filing Date:

5 November 2014 (05.11.2014)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

QA/201407/00268 23 July 2014 (23.07.2014)

QA

- (72) Inventor; and
- (71) Applicant: HAQE, Rashid Mazhar Mohammad M. [PK/QA]; P.O. Box 3050, Doha (QA).
- (72) Inventor: MAZHAR, Umair Bin Rashid Rashid; House No. 36, Zone 30, Road 935 Duhail, Doha (QA).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY,

BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Published:

- with international search report (Art. 21(3))
- with amended claims (Art. 19(1))

(54) Title: STERNOTOMY SPACING DEVICE

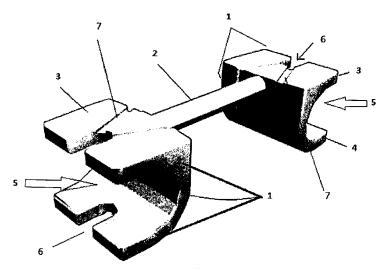


Figure 24

(57) Abstract: A device and a method for keeping the sternal edges apart for the procedure of delayed sternal closure is disclosed in which one or more, specifically shaped struts or stents are insinuated horizontally between the edges of the open sternum, keeping the two edges of the divided sternum apart by a distance determined by the length of the middle bar of the device. The disclosed device has lateral ends which are manually engaged to the medial ends of the sternal edges, by an insinuating movement while the said lateral ends of the apparatus hold onto the medial portion of the sternal edges by a passive, cupping grip which can be further secured by anchoring sutures. The whole of the disclosed device is in the form of a single piece, without any moving parts, joints, screws or nuts & bolts. The present device provides a method and an instrument which occupies minimum inter-sternal space and provides maximum sub-sternal space for accommodating cardiac oedema.



1

STERNOTOMY SPACING DEVICE

FIELD OF THE INVENTION

The present invention relates to the field of Cardio-Thoracic surgery. The present invention particularly relates to device and method for keeping the Sternotomy edges apart, in a stable position, for the procedure of delayed closure of the Sternum.

BACKGROUND OF THE INVENTION

10

15

20

25

30

35

Following prolonged cardiac operations, in a subset of patients, the heart and the mediastinal tissues swell-up to such a degree that closure of the sternum produces significant compression of the heart, resulting in circulatory compromise (cardiac temponade). In such a condition the surgeons keeps the sternum open and after a variable period of stabilization, sternum is closed; a procedure referred to as "Delayed Sternal closure" (DSC). DSC is also practiced in cases of intractable arrhythmia or coagulopathy following cardiac surgery or after placement of a circulatory assist device. DSC may be needed in >4% adult cardiac surgical. In the adult CABG plus valve surgery subgroup, from 5-12% patients may need DSC. In the pediatrics age group the collective incidence of DSC is around 7%; while amongst the infants and in the neonatal age groups, DSC is used in 22% to 61% cases, respectively.

The sternum may need to be kept open for up to several days. This time interval is required for the Myocardial & mediastinal tissue oedema to subside and to release it from the risk of temponade, while providing quick access to the chest cavity for clearance of clots & manual resuscitation. The patients remain ventilated during this period of time.

For DSC to achieve its objectives not only extra sub-sternal space is to be provided but the thoracic cage needs to be stabilized as well. In the absence of Sternal stability, the respiratory support as well as the nursing care and positioning of the patients are compromised. Furthermore, the sternal edges may injure the right ventricle when the patient is moved.

Traditionally, surgeons have been using barrels of plastic syringes or chest tubes, hand-crafted "on the table" to create such stents. At best these "on-the-spot-engineered" stents are an approximate fit for the purpose, result in unstable thoracic cage, their curved under surface encroach upon the mediastinal space, allows blood/fluid to accumulate inside the tubes increasing the risk of infection and carry potentially harmful sharp edges. Hence a reliable and strong Sternal Stenting is essential during DSC, to achieve this function, a

stenting device which keeps the Sternotomy edges apart, is provided in the present invention.

Besides, the act of cutting the syringes/chest tubes into a required shape, on the operating table, is not only cumbersome but could also be injurious to the fabricating surgeon. This is something the surgeons can do away with at the end of a difficult and prolonged operation.

SUMMARY OF THE PRIOR ART

5

30

1. Reference may be made to publish patent US2009259109A1: Sternum retractor device. Besides, the same device of that patent application is described in the published article by Santini F,F. Onorati, G. Faggian, and A. Mazzucco titled "Safety and efficacy of a novel temporary sternal spreader in the management of severe post cardiotomy cardiogenic shock: A preliminary report study", in the Journal of Thoracic & cardiovascular surgery (2011:142, 1276-1278).

The device present in the patent application and the publication is radically different in its design, principles, and utility when compared to the present invention.

The device described in the published patent application US2009259109 and afore referred publication is a mechanically expandable sternal spreader. It has two diverging sternal plates with an interposing mechanism for opening/closing the spreader. The mechanism can be mechanically controlled from outside the body, by a rotating rod, to either increase or decrease the width of the open sternum. It is essentially a modified chest spreader with multi-jointed moving parts. It has two side wings and a central mechanical control box. A metallic rod comes out of the central control box & then out through the patient's body, for adjustment of the spreader's width via the threading action of the above rod which controls the spreading and closing of the spreader's side arms. The cited art has been shown in figures 1 to 5.

In contrast to this published device, as is abundantly clear from the drawings of the device of the present invention (Figures 24-31), that it fundamentally differs from the US patent US2009259109, in the following aspects:

The device of the present invention is single piece equipment. It does not have any moving parts, threading/screwing rod & cylinder or coupling mechanism and hence is free from the possibility of blood/fluids accumulating inside the device, thus significantly reducing the risks of infection to the patient. It has a specifically curved, eccentrically placed, connecting rod with an outward convexity which is flush, at its zenith, with the outer table of the

5

10

15

20

25

30

35

Sternum. It therefore provides lowest profile with maximum sub-sternal, as well as inter-Sternal space for accommodating the oedematous (British spelling, USA writes it as Edematous) mediastinal tissues. In contrast, the bulky crock-screw mechanism producing lateral spread of the sternal plates lies within the mediastinum of the patient, thus restricting the available mediastinal space, needed for the accommodation of the oedematous myocardium &mediastinal tissues.

2: Reference may be made to another US patent no. 6712821 B2 dated March 30, 2004 "Sternum closure apparatus and method for helping maintain a space between parts of the sternum"

The device described in the cited art essentially comprise of two components: An elongate plate with vertical threaded shafts projecting anteriorly. This plate is approximately equal in length to the length of sternum & it occupies the space between the whole lengths of the two edges of the divided sternum, engaging to the underside of the sternum by laterally extending projections, at the level of the middle of the sternal table. The cited art has been shown in Figures-6 & 7; it provides a retaining/securing element, in the form of separate horizontal bar/s. After the insertion of the above elongate plate, the retaining element is fixed to the plate via its projecting vertical shafts, by means of threaded fasteners such as a nut (Figures 6, 7&8). The lateral ends of the retaining horizontal bars have underlying teeth to bite into the upper table of the sternal edge (Figures 9 and 10).

In contrast to this prior art, as is abundantly clear from the drawings Figures 24-31- that the present invention fundamentally differs from the US patent no. 6712821 B2.

3: US patent no. 6712821 B2, provides an "elongate plate" which restricts the mediastinal tissues at the mid-level of the Sternum. In contrast, the device of the present invention the Sternal Stent (Figures 24-31) has a specifically curved, eccentrically placed, connecting rod with an outward convexity which is flush, at its zenith, with the outer table of the Sternum. It therefore provides lowest profile with maximum sub-sternal, as well as inter-Sternal space for accommodating the oedematous mediastinal tissues. The "retaining mechanism of the US patent no. 6712821 B2, provides "teeth" at the lateral ends of Its "retaining horizontal bars" which bite into the upper table of the sternal edge making the sternal edges vulnerable to fractures & infection. The lateral ends of the device of the present innovation are specifically spaced to firmly grip the sternal edges without any penetrating mechanism.

4: Yet another reference is made to Patent CN201179080, which is an improved version of sternal spreader for use during surgery. As depicted in Figure-11, this is not a device for facilitating delayed sternal closure. Comparing it to the current application, it is obvious that

the device described in the present invention (Figures 24-31)is radically different from the instrument described in the CN patent no. 201179080 in its design, principles, utility and advantages to the patient.

5: Reference is made to the US Patent No. 4944753. As depicted in Figures 12 & 13, this is an apparatus for avoiding damage to the heart during re-Sternotomy. This prior art describes a permanent sub-sternal implant, placed during the primary closure of the sternum, so that if the same patient requires mid-Sternotomy at a later time period in life, the implant would protect the heart from the possible damage from the sternal saw used during the re-Sternotomy operation.

It is obvious that the device described in the present invention (Figures 24-31) is radically different from the instrument described in the US Patent No. 4944753 in its purpose, design & utility.

15

20

25

30

10

5

6: Reference is made to the US Patent Nos. 6,569,166 B2 & 6,402,754 B1; this apparatus is proposed, as a surgical treatment, to increase the inspiratory capacity of the patients with advanced COPD. It provides a whole-sternum-length wedge which is permanently fixed in between the divided ends of the sternum, the chest is closed and the patient is discharged with the device *in situ*. Thus, device cited in this prior art is contrary to the present invention of the "Sternal Stent", restricts the pre-cordial and the sub-sternal space in vertical dimension. In the situation of myocardial oedema, precluding sternal closure, the device of the above patent application cannot be used as it would further increase the temponade effect over the heart. This harmful effect would be due to the fact that the device of the patent serves to widen the bony sternal plate by providing a continuous plate which lies at a deeper level than the original bony sternum.

Furthermore, it obscures the whole of the mediastinum by excluding it from surgical view and from possibility of any urgent surgical intervention, e.g., suction, evacuation of clots etc. (Figures 14, 15). Hence, in contrast to this prior art, it is abundantly clear from the drawings of one of the embodiments of the device of the present innovation (Figures 24-31) that the present invention fundamentally differs from the US patent no's 6,569,166 B2 & 6,402,754 B1in its purpose, design and utility.

35

7: Reference is made to article titled "Description of a Reusable Device for the Temporary Stenting of the Open Sternum" by Kusber Hubert, M. Chares& M.-J.Polonius have published in the Journal of Thoracic & cardiovascular surgery (2002; 50: 117-119) their innovation in this article

The device described in this article has 3 components which are assembled by coupling a male and female threading mechanism. The device needs to be assembled prior to use and its breadth can be adjusted by winding or unwinding the length of the screwing/threading mechanism. It has a uniformly straight, cylindrical bar, connecting the sternal ends. The connecting bar has its superficial & deep surfaces in alignment with the outer & the inner tables of the sternum, respectively (Figures 16-19).

In contrast to this published device, it is abundantly clear from the attached drawings of the present innovation (Figures 24-31) that the present invention fundamentally differs from this published invention in the following aspects:

10

15

5

It is single piece equipment; it does not need to be assembled before use; it does not have any threading/screwing rod & cylinder parts or coupling mechanism and hence is free from the possibility of blood/fluids accumulating inside the rod-cylinder coupling arrangement, thus significantly reducing the risks of infection to the patient. It has a specifically curved, eccentrically placed, connecting rod with an outward convexity which is flush, at its zenith, with the outer table of the Sternum. It therefore provides lowest profile with maximum substernal, as well as inter-Sternal space for accommodating the oedematous mediastinal tissues. In contrast, the horizontal connecting bar of the published article restricts the mediastinal tissues at the level of the inner table of the Sternum, besides encroaching upon the inter-Sternal space.

It has specifically placed notches and grooves over the sternal ends, allowing secure anchoring of the stent to the sternal halves, thus providing maximum stability to the thoracic cage for proper nursing care and ventilation.

25

20

Referring to the preceding discussion under published article, it becomes abundantly clear that the present innovation is radically different from the instrument described in the published article in its design, principles, utility and advantages to the patient.

30

8: Reference is made to article by Osaka S, Ohsawa H, Miyazawa A, Honda J. have published in the Journal of Cardiac Surgery (2000 Sep-Oct;15(5):330-332) their innovation in the article titled "Simple sternal metal stent for delayed sternal closure". The device described in this article is a single piece; "U" shaped frame with laterally projecting side flanges at the top (Figures 20-21).

35

In contrast to this published device, as is abundantly clear from attached drawings of the present device (Figures 24-31) that the present invention fundamentally differs from the published innovation in the following aspects:

5

20

35

The lateral ends of the present invention clasps the Sternal edges while the metal frame of the published article has no such design. The middle part of the present invention has a specifically curved, eccentrically placed; thin connecting rod with an outward convexity which is flush, at its zenith, with the outer table of the Sternum. It therefore provides maximum substernal space for accommodating the oedematous mediastinal tissues. In contrast, the middle part of the connecting plate of the above published article lies at a level with the inner table of the Sternum, or even lower than that, thus restricting the mediastinal tissues to the level of the deeper surface of the sternum.

The middle part of the present invention has a thin though strong, low profile connecting bar which is eccentrically placed at the level of the superficial table of the sternum, thus, besides leaving the whole sub-sternal space unobstructed, it also makes available maximum inter-sternal space to accommodate the oedematous mediastinal tissues. In contrast, the middle part of the "metal frame" of the published article has a broad plate, at the level of the inner table of the sternum, which completely excludes the inter-Sternal space &poses a much greater, fixed restriction to the oedematous mediastinal tissues.

The lateral, Sternal-clasping design along with the specifically shaped low profile middle bar of the proposed innovation facilitates over-the device skin closure without the need to create lateral skin flaps: a procedure which increases the risk of infection and ischaemia of the pre-sternal soft tissues. In contrast, the laterally projecting broad plates of the "metal frame" of the cited published article necessitate creation of skin flaps to ensure skin closure over the "metal frame".

- Referring to the preceding discussion under published article, it becomes abundantly clear that the current application is radically different from the instrument described in the published article in its design, principles, utility and advantages to the patient.
- 9: Reference is made to another article by Dr. Aljafri A. Majid, from university of Malaya,
 Malaysia, have published in the Journal of "Annals of Thoracic surgery1990;49:771-4", his
 innovation in the article titled "Plastic Struts for Delayed Sternal Closure"

The device described in this article is a 5cm long plastic tube with a steel wire threaded through it. The ends of the wire were inserted para-sternally on each side from the inside of the chest, brought out, and twisted together on the outside. Thus basically the arrangement is a loop of steel wire going around the sternum, with a central, sub-sternal portion which is sheathed in a piece of plastic tubing (Figure-22).

In contrast to this published device, as is abundantly clear from the attached drawings of a particular embodiment of the present invention(Figures 24-31), that the present invention fundamentally differs from the published innovation in the following aspects: The lateral "U" shaped ends of the proposed innovation clasp the two Sternal edges, dissipating the force of separation of the edges as well as forces transmitted over the sternum during ventilation and care-giving, over a broad front, hence keeping the Sternum stable. In contrast the design of the published article has no lateral end. Its Para-sternal loop of wire makes an unstable, loose-tie around the sternum, producing an unstable, and flail thoracic cage.

10

15

20

35

5

In contrast to the inter-sternal-strut design of the proposed innovation, which provides a solid separation in-between the sternal edges, without any risk of sternal cut-through; the published article offers a Para-sternal wire loop which transmits all the forces of the ventilator& care-giving movements onto the sternal edges through the steel wire loop, thus carrying the risk of sternal instability by the wire cutting through the sternal edges.

The inter-sternal portion of the present invention is a solid strut of precise length which keeps the sternal edges apart by a fixed distance. The design in the published article has no strut between the sternal edges. Its intended function of separation of the sternal edges is determined by the looseness or the tightness of the twisting the para-sternal loop of the wire. The published article design thus offers a variable, unpredictable sternal edge separation which is determined by the degree of twisting of the wire ends by the individual operator.

The inter-sternal part of the plastic strut in the published article is in a downwardly convex form which lies below the plane of the inner table of the sternum, or even lower than that, thus restricting the mediastinal tissues to the level of the deeper surface of the sternum. It thus provides no inter-sternal space for accommodating the oedematous mediastinal tissues. In contrast, the proposed innovation has a specifically curved, eccentrically placed thin connecting rod with an outward convexity which is flush, at its zenith, with the outer table of the Sternum. It therefore provides maximum sub-sternal space for accommodating

the oedematous mediastinal tissues.

In summary, the current application, in contrast to the above-mentioned published art, provides a fundamentally different, safer & more effective design and apparatus of keeping the sternal edges apart, at a fixed separation. It also provides maximum sub-sternal while clasping the sternal edges, eliminating the risk of cut-through by the looping wires.

Referring to the preceding discussion under published article, it becomes abundantly clear that the current application is radically different from the instrument described in the "published article-3" in its design, principles, utility and advantages to the patient.

10: Reference may be made to yet another published article by Apple Baum RE, Green DC, Sequeira A, McLaughlin JS. Use of a zipper in cardiac surgical operations .*Ann Thorac Surg*1987; 43: 227–] this publication describes a surface zipper application to the skin edges of the wound. There is no device to keep the bone edges apart hence this citation is not relevant to our application.

10

15

20

35

- 11: Reference may be made to other publications such as
- a): Kaneda T, Aoshima M, Ishigami N, Iemura J, Matsumoto T.An effective device to keep the sternum open. Surg Today. 1999; 29(2):194-5.
- b): Ganesha krishnan K. Thyagarajan, Cobanoglu A, Temporary Stenting of the Sternum after Difficult Cardiac Operations. *Ann ThoracSurg* 1997; 64:1504-1505.
- c): Satoh H, Sakai K, Koyama M, Matsuda H.Spool-like stent for the open sternum after cardiac operations. Ann Thorac Surg. 1997 Feb;63(2):572-4.
- d): Jones SD, Fullerton DA, Campbell DN, Jaggers J, Brown JM, Smith R, Grover FL. Technique to stent the open sternum after cardiac operations. Ann Thorac Surg. 1994 Oct;58 (4):1186-
- 7. All these cited publications describing the use of disposable syringes' barrels/plastic chest drain tubes, cutting at the operating table, into an approximate shape to act as sternal struts/stents. The matter discussed in these publications carries all those problems discussed in the 'Background' section of the specification
- Thus, from the prior arts discussed herein the present invention radically differs from the described solutions under publications, by providing its specific, functional design, shape & dimensions, hence removing all the aforementioned concerns & dangers for the patients, as described in the statement of the invention and the section on "advantages".

30 **STATEMENT OF THE INVENTION**

According to the present invention, provides a device which firmly stents & keeps the Sternal edges apart. It further provides a stable "non-flail" thoracic cage to ensure proper ventilation and adequate nursing & physiotherapy care of the patient. The present invention provides a set of specifically designed struts, to suit various patient ages from neonate to big adults.

ADVANTAGES OF THE PRESENT INVENTION:

10

20

30

Some of the advantages and the object of the unique functional configuration & design of the present invention are listed as follows:

- 1: Provides maximum sub-sternal space to accommodate for cardiac &mediastinal tissue oedema.
- 5 2: Allows easy insinuation between the edges of the severed sternum while providing strong, a traumatic grip to the Sternal edges.
 - 3: Allows easy & secure fixation to the patient by its strategically placed suture ligation grooves, providing sternal stability for ventilator & nursing care.
 - 4: Provides an "off-the-shelf", set of graduated sizes which allow the device to fit patients of various ages and sternal thickness.
 - 5: Absence of movable parts or joints frees the present device from the need for adjustment to the dimensions of the stent.
 - 6: Absence of moving parts avoids trapping of blood clots & fluids, thus decreasing the risk of infection.
- 15 7: All around smooth edges & surfaces, minimizing risk of myocardial injury.
 - 8: Relative lack of occupation of the mediastinal space allows visibility& easy access to the mediastinum for various interventions.
 - 9: Allows space for trans-sternal insertion of Intra-aortic Balloon Pump or other adjuncts.
 - 10: Allows usage of device in multiple sizes in a single patient, giving maximal stability of the thoracic cage.

BRIEF INTRODUCTION TO DRAWINGS:

The invention will now be described solely by way of example and with reference to the accompanying drawings in which:

Figures 1-23 are the drawings of the referred prior art.

Figure-24: shows a sketch of one embodiment of the present device wherein the device comprise a solid, metallic body, without any joints or mobile parts, comprising two lateral sternal ends opening in the opposite direction and a central, eccentrically placed, joining bar or strut.

Figure-25: shows the profile view of one particular embodiment of the present device.

Figure-26 shows the top view, from the superior or the external aspect of the stenting device of the present invention

Figure-27: shows one particular embodiment of the present invention with a specific configuration of the intermediate bar/strut.

10

Figure-28: shows another particular embodiment of the present invention with a specific configuration of the intermediate bar/strut.

Figure-29: shows one particular embodiment of the present invention which is fabricated with strong though light-weight non-metallic material.

Figure-30: shows one particular embodiment of the present invention with suture anchoring configuration along the lateral ends of the said stenting device.

Figure-31: shows one particular embodiment of the present invention depicted as a set of graduated sizes.

DETAILED DESCRIPTION OF THE INVENTION

10

The present invention relates to the device which firmly stents & keeps the Sternal edges apart. The said device provides a fundamentally different, safer & more effective design for keeping the sternal edges apart, at a fixed separation. It also provides maximum sub-sternal while clasping the sternal edges, eliminating the risk of cut-through by the looping wires.

15

20

25

30

35

Referring to the drawings the particular embodiments of the device of the present innovation, comprises of figure 24, where its one particular embodiment is shown with two sternal ends (1), shaped approximately like letter "C" though opening in opposite directions. The two sternal ends (1) are interconnected by an intermediate bar/strut (2). Each sternal end (1) has an upper lip (3) and a lower lip (4), providing a hollow cusp laterally (5) at either end. When the divided sternum is to be kept apart for delayed closure, the device of proper size is manually insinuated between the sternal edges so that its lateral end's (1), upper lip (3) lies superficial to the external table of the sternum and its lower lip (4) lies underneath the inner table of the sternal edge, thus the lateral end's hollow cusp (5) engages firmly with the divided edge of the sternum. The sternal edges are kept apart by the width and strength of the intermediate bar/strut (2). As is clear from the figure 24, that the interconnecting, intermediate bar (2) is placed eccentrically between the two sternal ends, thus it provides maximum sub-sternal space for the oedematous mediastinal tissues, relieving the heart from temponade effect. After engaging the sternal ends (1) to the Sternotomy edges the device could be further secured to the sternal edges by passing suture ligature through the upper & lower lips' suture ligature slot (6) and securely tying the suture by virtue of sutureholding groove (7) along the inner side of the sternal ends (1). Figure 25 shows one sketch-in profile, of a particular embodiment of the device of the present innovation, where the eccentric, superficial position of the interconnecting bar/strut (2) is highlighted, providing maximum space in front of the mediastinal tissues to accommodate for their oedema, without compressing the heart. Figure 25 also shows the cusp like configuration of the sternal ends (1), in this particular embodiment, whereby the upper lip (3) and the lower lip 11

PCT/IB2014/002337

(4) of the sternal end and its hollow cusp (5) firmly engages with the medial portions of the divided sternum. Figure-26 shows the superior aspect view of the device whereby the suture-ligature slot (6) and the two diverging suture-holding grooves (7) allow stable fixation of the device to the sternal halves by double suture ligation on both sides. Figure 26 also highlights the width of the upper (3) and lower lips (4), in sagittal plane, providing firm anchorage over the edges of the divided sternum. Figures 27&28 depict particular embodiments of the device where the interconnecting, intermediate bar/strut (2) is either angulated or gently convex in an outward dimension, so as to provide extra space for the swollen mediastinal tissues. Figure-29 depicts the lateral profile drawing of a device made with non-metallic, carbon-fibre reinforced Thermoplastic polymer material, exhibiting the one piece, solid fabrication with an upwardly convex interconnecting, and intermediate bar/strut (2) providing minimal occupation of mediastinal space by the device itself and at the same time providing maximum sub-sternal space for tissue oedema to expand. Figure-30 shows the configuration of the suture-holding groove (7), extending along the medial side of the sternal end (1), providing a secure suture ligature anchoring facility. Figure-31 shows a particular embodiment of the proposed innovation whereby a set of several devices are provided with increasing dimensions of their lateral sternal ends (1), the interconnecting bars (2) and the upper & lower lips (3 and 4) of the devices to allow the surgeon to choose one or more than one devices to be deployed in patients of varying body sizes.

20

15

5

10

WO 2016/012829

Devices of varying sizes, based upon measurements of the various levels of divided sternii in different age groups (as acquired during the developmental phase of the present invention), can be deployed in the same patient, at different levels of the divided sternum, providing extra secure stenting of the sternum.

25

30

One of the major concerns with DSC is the risk of infection, particularly into the cut surfaces of the sternal bone. To help decrease this risk of infection, in one particular embodiment, the surface of the device of the present invention would be provided with a mechanism of local delivery of antibiotics. Local antibiotic delivery is known to prevent the bacterial colonization onto the device or the implant's surface, thus reducing the risk of implant-related infections. Another benefit of local delivery systems is that high concentrations of the antibiotic are achieved in the desired area without the need for high systemic doses of the antibiotics and its associated side effects.

35

To provide such local antibiotic delivery, the device could be either coated with antibiotics or the antimicrobial molecules could be covalently attached onto the device surfaces. Coating of the device with an antibiotic/antimicrobial agent of choice could be either without a carrier (such as spraying the device with a methanol solution containing antibiotics) or with a carrier such as sol-gel, Silicone Polymer, polylactic acid (PLA) coating,

Carbonated hydroxyapatite (CHA) or poly (D, L-Lactide) (PDLLA) coating carrying specific antibiotics or various antimicrobial agents (e.g., Chlorhexidine, Polyhexamethylene Biguanides, Hydroxy apatite, Chitosan etc). Another method of antibiotic surface coating could be either by Nanopeptide coating or by using antibiotic-impregnated polymethylmethacrylate cement filling grooves made over the tissue contact areas of the device of the present invention.

In one particular embodiment of the present invention the device's surface could be permanently rendered antimicrobial by covalent attachment of antibiotics or other bactericidal peptides. The bonding does not allow the antibiotic molecules to elude off the surface of the device, thus decreasing possible local and systemic toxicity while providing long-lasting protection from infection. In such an embodiment the device would be fabricated of Titanium alloys with covalent attachment of vancomycin, Gentamycin and/or other bioactive molecules on its surfaces.

15

10

5

In one embodiment anti-biotic coating of the surface of the device help further decrease the risk of infection. This could be in the form of using an antibiotic impregnated metal for making the device of the present invention, example being Titanium with covalently-linked Vancomycin on its surface.

20

In yet another embodiment of the present invention another method of antibiotic surface coating could be by using antibiotic-impregnated polymethylmethacrylate cement filling grooves made over the tissue contact areas of the device of the proposed invention.

25

In another embodiment the device may be fabricated with radiolucent material of required strength; one example of such material being Carbon-fibre-reinforced Thermoplastics (e.g., Nylon, Polycarbonates & polyketones). The clinical advantage with such an embodiment of the present device would be that chest X-rays done, with the device in place, would not be obscured by the shadow of the device.

30

In yet another embodiment the advantages of the local antibacterial delivery and the radiolucency attributes of the device could be combined in a single fabrication with the radiotransparent device providing local antibacterial release as well.

35

In yet another embodiment of the present invention, the device being provided in a set of graduated sizes to be suitable for patients of various ages and body sizes.

Thus the preferred embodiments of the present invention have been described in reference to their application environment; however these depictions are merely illustrative of the principles underlying the present invention. Other embodiments, designs and configuration

may be devised without departing from the principles, spirit and scope of the appended claims.

Examples:

5

10

15

20

Example 1

A working prototype of the present invention was used in 4 adult. In all adult patients the stent firmly kept the sternal edges apart and helped improve the haemodynamics of the patients. The device of the present invention as sternal stents remained in situ for an average period of 4 days. There were no instances of slippage, dislodgement or local trauma. The thoracic cage remained stable with the stents in place, allowing optimal ventilatory and nursing care of the patients. Delayed sternal closure was achieved as planned without any subsequent untoward results attributable to the use of the sternal stent devices.

Example 2

A working prototype of the present invention was used in 12 pediatric cardiac surgical patients where chest could not be closed primarily. The pediatric patients ages ranged from 1-195 days (average age 35.6 days). In all these patients the stent firmly kept the sternal edges apart and helped improve the haemodynamics of the patients. The device of the present invention as sternal stents remained in situ for an average period of 4 days. There were no instances of slippage, dislodgement or local trauma. The thoracic cage remained stable with the stents in place, allowing optimal ventilatory and nursing care of the patients. Delayed sternal closure was achieved as planned without any subsequent untoward results attributable to the use of the sternal stent devices.

Example 3

30

35

25

The device may be fabricated with radiolucent material of required strength. One example of such material is Carbon-fibre-reinforced Thermoplastics (e.g., Nylon, Polycarbonates & polyketones). Thus fabrication would do away with the obscuring of patient's X-ray picture with the projected shadow of the device in situ. An unobstructed, clear X-ray image would help improve clinical decision-making.

CLAIMS

 A device for keeping apart the sternal edges of a patient with mid-Sternotomy, the device comprising;

5

an elongate member which act as a strut between the sternal edges to keep these apart, the two outer ends of the apparatus dimensioned & configured to clasp and firmly engage with the severed edges of the sternum and provided with means of further securing the apparatus to the severed halves of the sternum; the whole device is one solid configuration, without any moving parts or joints.

10

15

- 2. The device as set forth in claim 1, wherein the elongate member is in the form of a horizontal bar/rod between the outer ends of the device.
- 3. The device as set forth in claim 1, wherein the length of the elongate member determines the gap between the sternal edges.
- 4. The device as set forth in claim 1, wherein the elongate member is eccentrically positioned at the most superficial level of the device, being either straight or outwardly convex, providing free space between the sternal edges.

20

5. The device as set forth in claim 1, wherein the elongate member join the lateral grasping ends of the device at or near its superficial aspect.

25

- 6. The device as set forth in claim 1, wherein the two outer ends of the device have substantially U shaped cross-section, shaped like two horizontally disposed horseshoes, with their open ends towards the sternal edges, dimensioned and configured to clasp and firmly engage with the severed edges of the sternum, at a broader plane than the middle elongate member.
- 30
- 7. The device as set forth in claim 6 wherein the outer ends of the device are provided with strategically placed notches in its prongs and grooves along the surface of the

15

outer ends for securing the outer ends to the sternal halves by means of suture ligation.

8. The device as set forth in claim 1, wherein the middle elongate member and the two outer ends of the device, make one continuum, without any joints, moving parts or coupling devices.

5

10

15

20

25

30

- 9. The device as set forth in claim 1, wherein the sternal spacing device is provided as a set of graduated, ready-to-use, sizes with small to large middle elongate member as well as the outer ends, configured to engaging with the sternal halves, of patients of various ages and body sizes.
- 10. The device as claimed in claims 1, 6 and 7, the prongs of the outer ends of the device can be fabricated of a Thermoplastic material like Nylon, Polycarbonates or polyketones so as to exert a clasping grip over the severed edges of the sternum.
- 11. The device as claimed in claims 1-10, wherein the device may be impregnated with suitable antibiotic coating to reduce the risk of local wound infection.
- 12. The device as claimed in claim 1-11, the device may be fabricated with a suitable material as to be lucent to X-rays.
 - 13. The device as claimed in claim 1 wherein the device being provided in a set of graduated sizes to be suitable for patients of various ages and sizes.
 - 14. The device as claimed in claim 1 wherein the device being fabricated of biocompatible material.
 - 15. A method for maintaining a pre-determined space between the divided edges of the Sternum or any two bony parts of the patients; the method comprising; horizontally

5

10

inserting an elongate member between the two divided ends of the bony part in such a way that the two lateral end portions engage with the medial portions of the severed sternal ends and these lateral ends could further be secured by suture fixation with the sternal or divided bony ends, the fixation being facilitated by strategically placed notches and grooves in and on the lateral end portions.

16. A method for maintaining a pre-determined space between the divided edges of the Sternum or any two bony parts of the patients; the method comprising, as set forth in claim 15, by using one or multiple number of these devices which may be of different sizes in length of the middle part as well as the lateral ends, being placed at different parts of the severed sternal or bony edges.

WO 2016/012829

AMENDED CLAIMS received by the International Bureau on 16.09.2015

CLAIMS

1. A sternal spacing kit comprising:

A device configured for keeping apart sternal edges (1) of severed halves of patient's sternum with mid-Sternotomy, the device comprising;

an elongate member (2) defining two ends and configured to act as a strut between the sternal edges to keep these apart; and

two lateral grasping ends dimensioned and configured to clasp and firmly engage with the sternal edges of the sternum wherein each of the lateral grasping ends joins the corresponding en of the elongated member (2);

wherein the whole device is of one solid configuration, without any moving parts and moving joints;

Wherein

the kit further comprises means for further securing the device to the severed halves of the sternum;

the elongate member (2) is eccentrically positioned at the most superficial level of the device, being either straight or outwardly convex positioned considering the whole device, providing free space between the sternal edges.

- 2. A sternal spacing kit as set forth in claim 1, wherein the elongate member is in the form of a horizontal bar/rod between the outer ends of the device.
- A sternal spacing kit as set forth in claim 1, wherein when the device is used, the length of the elongate member (2) determines the gap between the sternal edges.
- 4. A sternal spacing kit as set forth in claim 1, wherein the elongate member join the lateral grasping ends of the device at or near superficial aspect.

- 5. A sternal spacing kit as set forth in claim 1, wherein the two outer ends of the device have substantially U shaped cross-section, shaped like two horizontally disposed horse-shoes each defining an open end, wherein each of the two outer ends is disposed with respect to the elongate member so that its open end faces the corresponding sternal edges when in used, dimensioned and configured to clasp and firmly engage with the severed edges of the sternum, at a broader plane than the middle elongate member.
- 6. A sternal spacing kit as set forth in claim 6 wherein the outer ends of the device are provided with strategically placed notches in prongs and grooves along the surface of the outer ends for securing the outer ends to the sternal halves by means of suture ligation.
- 7. A sternal spacing kit as set forth in claim 1, wherein the middle elongate member and the two outer ends of the device, make one continuum, without any joints, moving parts or coupling devices.
- 8. A sternal spacing kit as claimed in claims 1, 6 and 7, the prongs of the outer ends of the device is fabricated of a Thermoplastic material like Nylon, Polycarbonates or poly-ketones so as to exert a clasping grip over the severed edges of the sternum.
- A sternal spacing kit as claimed in claims 1-10, wherein the device is impregnated with suitable antibiotic coating to reduce the risk of local wound infection.
- 10. A sternal spacing kit as claimed in claim 1-11, the device is fabricated with a suitable material as to be lucent to X-rays.
- 11. A sternal spacing kit as claimed in claim 1 wherein the device being provided in a set of graduated sizes to be suitable for patients of various ages and sizes.

- 12. A sternal spacing kit as claimed in claim 1 wherein the device being fabricated of bio-compatible material.
- 13. A sternal spacing set comprising a plurality of the sternal spacing kits as defined by claim 1, wherein graduated sizes of the ready-to-use elongate members and of the outer ends corresponding thereto, are provided, wherein said sizes are configured to engage sternal edges of patients of various ages and body sizes.

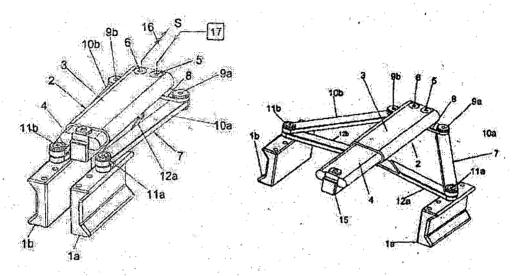


Figure 1

Figure 2

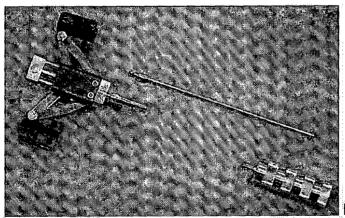


Figure 3

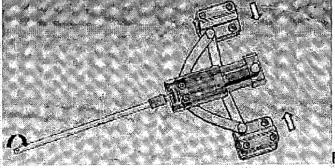


Figure 4

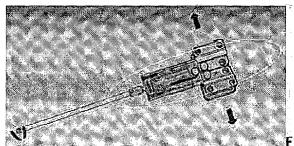


Figure 5

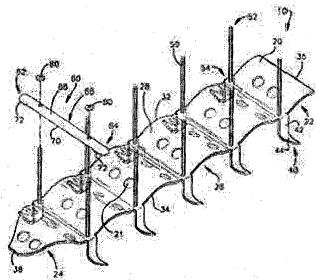


Figure 6

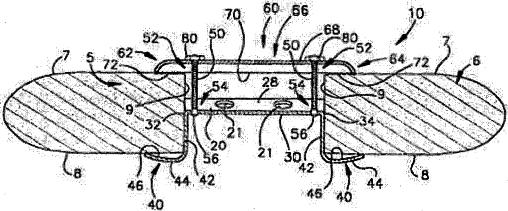


Figure 7

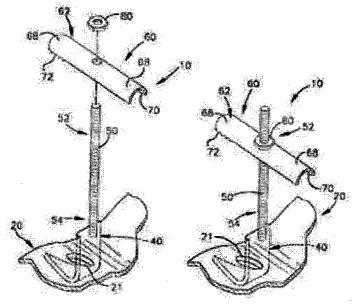


Figure 8

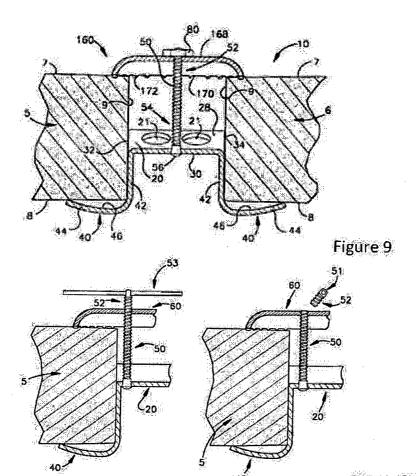


Figure 10

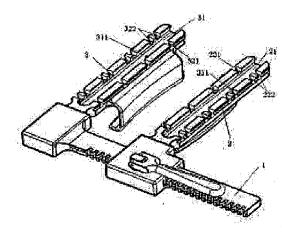


Figure 11

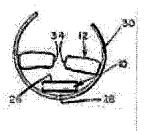


Figure 12

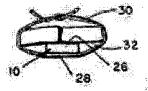


Figure 13

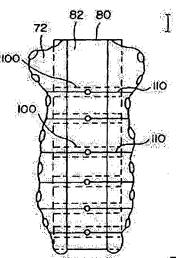


Figure 14

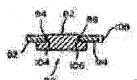


Figure 15



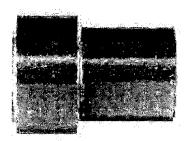


Figure 16

Figure 17

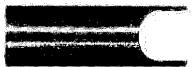


Figure 18

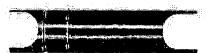


Figure 19

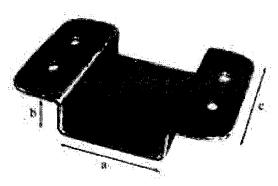


Figure 20

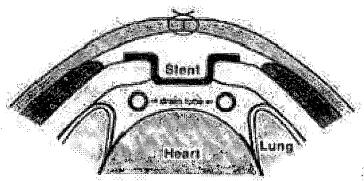


Figure 21

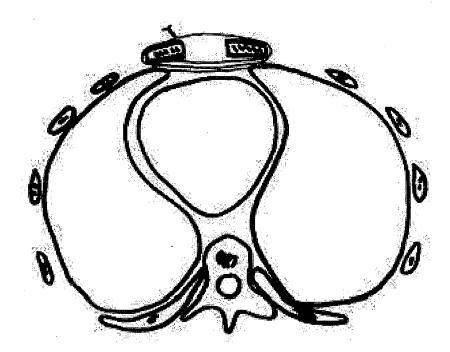
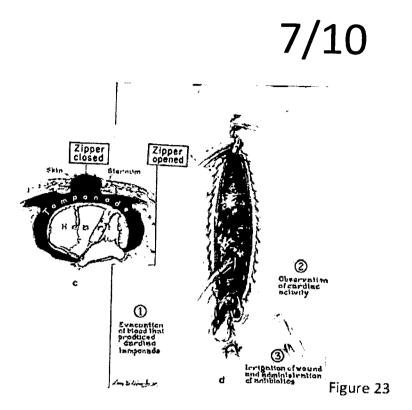


Figure 22



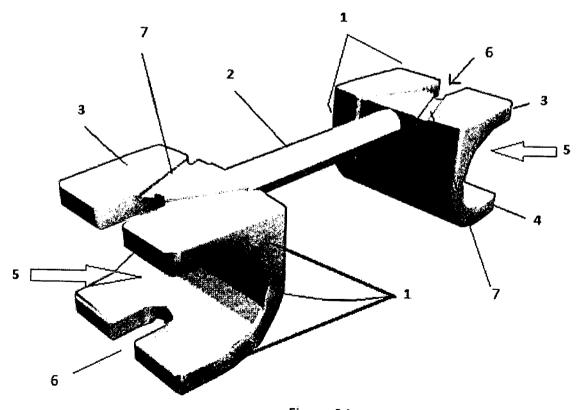


Figure 24

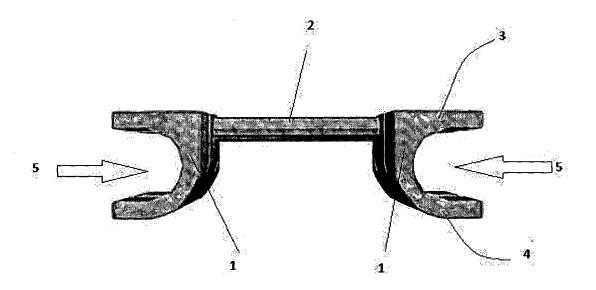


Figure 25

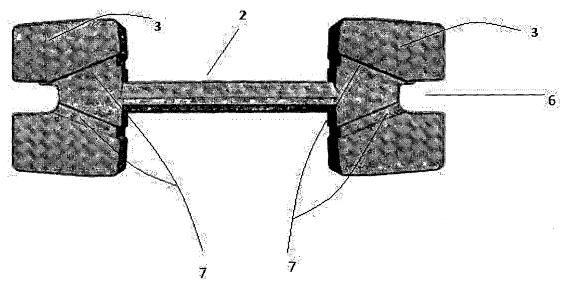


Figure 26

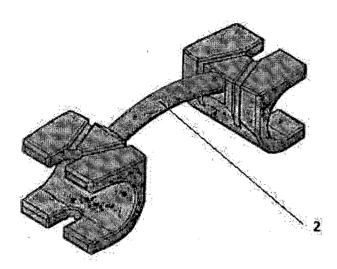


Figure 27

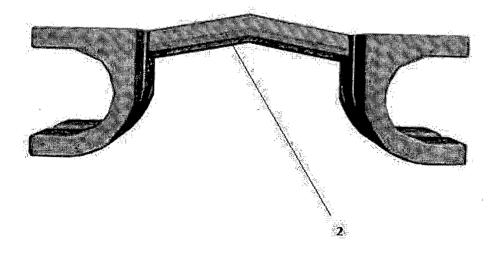


Figure 28

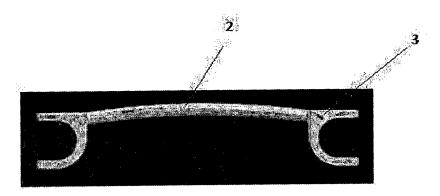


Figure 29

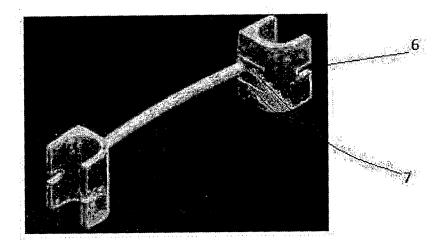


Figure 30

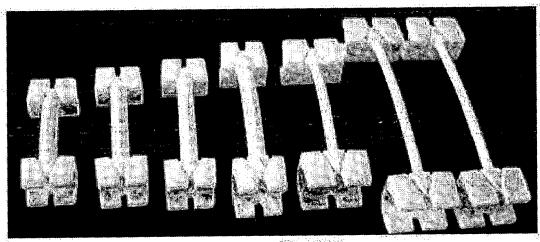


Figure 31

INTERNATIONAL SEARCH REPORT

International application No PCT/IB2014/002337

INV. A61B17/02 ADD.							
According to International Patent Classification (IPC) or to both national classification and IPC							
B. FIELDS SEARCHED							
Minimum do A61B	ocumentation searched (classification system followed by classificat	ion symbols)					
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched							
	ata base consulted during the international search (name of data ba	ase and, where practicable, search terms use	ed)				
EPU-In	ternal, WPI Data						
C. DOCUMENTS CONSIDERED TO BE RELEVANT							
Category*	Citation of document, with indication, where appropriate, of the re	levant passages	Relevant to claim No.				
X	TOSHIO KANEDA ET AL: "An effect to keep the sternum open", SURGERY TODAY; OFFICIAL JOURNAL JAPAN SURGICAL SOCIETY, SPRINGER TO, vol. 29, no. 2, 1 February 1999 (1999-02-01), pa 194-195, XP019852084, ISSN: 1436-2813	1-3,6-8, 10-14					
Α	the whole document	4,9					
А	US 2011/060194 A1 (RISTO OLOF [S 10 March 2011 (2011-03-10) the whole document 	SE] ET AL)	1-14				
Further documents are listed in the continuation of Box C. X See patent family annex.							
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than		"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family Date of mailing of the international search report					
8	July 2015	16/07/2015					
Name and n	nailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer Strazdauskas, Gedas					

International application No. PCT/IB2014/002337

INTERNATIONAL SEARCH REPORT

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)						
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:						
1. X Claims Nos.: 15, 16 because they relate to subject matter not required to be searched by this Authority, namely: Rule 39.1(iv) PCT - Method for treatment of the human or animal body by						
surgery; Rule 39.1(iv) PCT - Method for treatment of the human or animal body by therapy.						
Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:						
3. Claims Nos.:						
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).						
Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)						
This International Searching Authority found multiple inventions in this international application, as follows:						
see additional sheet						
1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.						
2. X As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.						
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:						
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:						
Remark on Protest The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee. The additional search fees were accompanied by the applicant's protest but the applicable protest						
fee was not paid within the time limit specified in the invitation.						
No protest accompanied the payment of additional search fees.						

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-14

A sternal spacing kit according to claim 4, characterized by the features of claim 4 incl. the missing essential features. The characterizing features relate to the technical problem of providing extra free space between the sternal edges

1.1. claim: 9

A sternal spacing set according to claim 9, characterized by the features of claim 9. The characterizing features relate to the technical problem of providing devices for the sternal retraction for patients of various ages and body sizes

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No
PCT/IB2014/002337

Pate cited ir	nt document n search report		Publication date	Patent family member(s)	Publication date
US 2	2011060194	A1	10-03-2011	NONE	